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## **NKT CELLS AND THE REGULATION OF INTESTINAL IMMUNITY: A TWO-WAY STREET**

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**Running title:** The crosstalk between the microbiota and NKT cells

**Key words:** NKT cells, CD1, microbiota, lipid antigens, IBD

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## ABBREVIATIONS

$\alpha$ GalCer: alpha-galactosylceramide

APC: antigen presenting cells

CD: Crohn's disease

DC: dendritic cell

DSS: dextran sodium sulfate

GF: germ-free

IBD: Inflammatory Bowel Disease

IEC: intestinal epithelial cells

ILC: Innate lymphoid cell

mLN: mesenteric lymph node

NKT cell: Natural Killer T cell

PLZF: promyelocytic leukemia zinc finger

ROR $\gamma$ t: RAR-related orphan receptor gamma

SI: small intestine

SPF: specific pathogen free

TCR: T cell receptor

TLR: Toll like receptor

UC: ulcerative colitis

## ABSTRACT

The mammalian gastrointestinal compartment is colonised by millions of microorganisms that have a central influence on human health. Intestinal homeostasis requires a continuous dialogue between the commensal bacteria and intestinal immune cells. While interactions between host and commensal bacteria are normally beneficial, allowing training and functional tuning of immune cells, dysregulated immune system-microbiota crosstalk can favour the development of chronic inflammatory diseases, as it is the case for inflammatory bowel disease (IBD). Natural Killer T (NKT) cells, which recognize CD1-restricted microbial and self-lipids, contribute to the regulation of mucosal immunity by controlling intestinal homeostasis and participating in the development of IBD. Here, we provide an overview of the recently identified pathways underlying the crosstalk between commensal bacteria and NKT cells and discuss the effect of these interactions in intestinal health and disease.

## INTRODUCTION

The mammalian gastrointestinal mucosa is a unique environment colonised by a highly complex mixture of microorganisms that establish a mutualistic relationship with the host. The defence system of the intestinal mucosa comprises an epithelial layer and a plethora of immune cells that restrict commensals within the intestine while preserving their number and diversity. Conversely, commensal organisms are required for the development of a fully functional immune system [1]. While interactions between host and commensal bacteria are normally beneficial, it is becoming clear that dysregulated immune system-microbiota crosstalk can favour the development of chronic inflammatory diseases such as inflammatory bowel disease (IBD). Metagenomic sequencing studies reveal alterations in the populations of commensal bacteria (dysbiosis) in patients suffering from IBD. Moreover, studies in murine models provide a causal link between changes in commensal populations and the pathogenesis and progression of intestinal inflammation [2]. The factors triggering the development of IBD are incompletely understood, but the balance between health and disease is most likely regulated by the interactions between immune cells and commensal microbes. Hence, deciphering the mechanisms that mediate the communications between microbial communities and immune cells could provide approaches to prevent or ameliorate intestinal disorders, with potential relevance to immunopathologies more generally.

Whilst much gut immunology research has focused on the function of conventional MHC-restricted T cells, recent studies have revealed a central role for CD1-restricted, lipid-reactive Natural Killer T (NKT) cells in the regulation of intestinal immunity. NKT cells are present in mouse and human gut where they recognise commensal-derived lipids and participate in the control of intestinal homeostasis and inflammation. In this review we will summarise the mechanisms underlying the crosstalk between the commensal microbiota and NKT cells and discuss how these interactions shape mucosal immunity in health and disease.

## NKT CELLS: AN OVERVIEW

The mammalian immune system has evolved to protect hosts from a vast variety of threats through the evolutionarily “ancient” innate immunity and the “modern” adaptive immunity. NKT cells fall at the crossroads of these two immune paradigms, capable of recognising antigens through their T cell receptor (TCR), but responding rapidly in an innate-like manner. These abilities warrant NKT cells a place within the “unconventional T cell” family, along with MAIT cells and  $\gamma\delta$  T cells [3, 4].

## **NKT cell development and classification**

NKT cells were originally identified by the expression of NK markers as well as their TCR, and later defined for their capacity to recognise lipid antigens presented by the MHC-I-like molecule CD1 [3, 5]. CD1 molecules encompass a family of lipid-presenting proteins (CD1a-d in humans, with only CD1d expressed in mice) that vary in the structure of their lipid binding grooves, patterns of cellular expression and intracellular trafficking [3, 6]. Within the thymus CD1<sup>+</sup>CD4<sup>+</sup>CD8<sup>+</sup> thymocytes participate in the selection of NKT cells that will consequently become CD1 restricted [7]. While CD1 is strictly required for NKT cell development, the nature of the lipid ligands involved in NKT cell selection remains unclear and controversial [8, 9]. Based on their CD1 restriction and TCR specificity NKT cells can be classified in two families [4]: type I or type II NKT cells. Type I NKT cells - also known as invariant NKT (iNKT) cells - express a semi-invariant TCR (typically V $\alpha$ 14-J $\alpha$ 18 in mice and V $\alpha$ 24-J $\alpha$ 18 in humans) and recognise the lipid  $\alpha$ -galactosylceramide ( $\alpha$ GalCer) presented by CD1d. These cells can be identified using  $\alpha$ GalCer-loaded CD1d tetramers, and they have been the most studied group up to date. Despite their limited TCR $\alpha$  usage, iNKT cells recognise diverse endogenous and exogenous lipid antigens. Recognition of diverse lipids is partially dependent on the TCRV $\beta$  usage but also on variations of the polymorphic CDR3 $\beta$  region [10-12]. On the other hand, type II NKT cells are not reactive to  $\alpha$ GalCer and recognise a variety of lipids presented by the different CD1 molecules (CD1a-d) [13]. A proportion of type II NKT cells recognises the naturally occurring self-glycolipid sulfatide and has an oligoclonal TCR repertoire [14]. However, sulfatide-loaded CD1d tetramers have not been widely used to characterize type II NKT cells due to high background staining. As they lack a defining marker, the function of type II NKT cells in mice is usually deduced by comparing the phenotype of CD1d-deficient mice (which lack type I and II NKT cells) with J $\alpha$ 18-deficient mice (which lack type I NKT cells).

## **iNKT cell functional subsets**

iNKT cells show a wide tissue distribution but, unlike conventional T cells, the majority of iNKT cells don't recirculate but instead establish long-term residency within the tissues [15-18]. In peripheral tissues of mice, we can find several functional subsets of iNKT cells that are classified on the basis of their transcription factor expression and their cytokine secretion patterns (Table 1): NKT1 (T-bet<sup>+</sup>), NKT2 (PLZF<sup>hi</sup>), NKT17 (ROR $\gamma$ t<sup>+</sup>), NKT10 (E4BP4<sup>+</sup>), NKTreg (Foxp3<sup>+</sup>) and NKT follicular helper cells (NKT<sub>FH</sub>, Bcl6<sup>+</sup>). NKT1, NKT2 and NKT17 are found at different proportions in various lymphoid and non-lymphoid tissues in homeostatic conditions [19-21]. In C57Bl/6 mice NKT1 cells are highly abundant and especially enriched in liver, spleen and thymus, NKT2 cells

are abundant in mesenteric lymph node (mLN), and NKT17 cells are enriched in lungs as well as in inguinal, axillary and cervical LNs [20]. NKT10 preferentially accumulate in adipose tissue in homeostasis, but can be induced in response to immunisation and are found in the intestine of transgenic mouse models of colorectal cancer (APC<sup>Min/+</sup> mice) [18, 22, 23]. On the other hand, NKT<sub>FH</sub> and NKTregs have been only detected in mice during immune responses [24, 25]. In agreement with their distinct phenotype, iNKT cells found in various tissues have unique functions that contribute to modulate the outcome of immunity [16]. For instance, in the lung-draining LNs iNKT cells are major producers of IL-4 and defend against viral infection [26], while adipose tissue iNKT cells secrete IL-10 and protect from inflammation-induced obesity [18].

While the preferential tissue distribution of the various iNKT cell subsets is well established, the mechanisms controlling their differentiation in the thymus and/or the periphery remain incompletely understood. Several (but non-exclusive) scenarios could contribute to explain the differential presence of iNKT cell subsets in various anatomical locations: (i) iNKT cells commit in the thymus before migrating to peripheral tissues; (ii) uncommitted iNKT cells emigrate from the thymus and differentiate in peripheral tissues; (iii) functionally committed iNKT cells display plasticity in response to specific signals and/or (iv) certain iNKT cell subsets can preferentially expand in response to signals in the tissues or during an immune response. A broad range of literature backs these various scenarios. For instance, in support of thymic commitment it has been shown that the TCR signal strength during thymic development can direct the differentiation of iNKT cells within specific functional subsets [27]. Further to this, a variety of signalling molecules and transcription factors including Zap70, Bcl11b or Roquin have been shown to participate in the control of iNKT cell lineage differentiation [28-30]. On the other hand, several lines of evidence suggest that iNKT cells can acquire functional capabilities in the periphery. For instance, earlier studies showed that non-committed iNKT cells can be induced to produce IL-9 or IL-17 in response to cytokine stimulation [31, 32]. Also, it has been recently shown that undifferentiated PLZF<sup>hi</sup>CCR7<sup>+</sup> iNKT cell precursors can emigrate from the thymus to the periphery where they terminally differentiate into one of the iNKT cell subsets [33]. These iNKT cell precursors are found in various peripheral tissues and show a phenotype and TCR repertoire distinct from that of mature iNKT cells [12, 33, 34]. Finally, specific iNKT cell subsets can expand in various tissues in response to lipid antigen administration. For instance, NKT2 cells expand in the mLN in response to oral lipids, while pre-treatment with  $\alpha$ GalCer induces the expansion of NKT10 cells in the spleen [22, 35]. Thus, all together this data suggests that while thymic signals modulate the commitment of iNKT cells to one of the functional subsets it is likely that peripheral signals may also contribute to shape the phenotype and function of iNKT cells in specific tissues.

### **iNKT cell activation**

NKT cells can get activated through antigen-dependent and independent mechanisms and in response to foreign or self-lipids (Figure 1). CD1d-mediated presentation of exogenous lipid antigens to iNKT cells leads to their activation and rapid cytokine production [36]. For instance, iNKT cells can recognise and be activated by CD1d-restricted foreign lipids either synthetic or found in pathogenic bacteria (e.g. *Streptococcus pneumonia*, *Borrelia Burgdorferi*), commensals (*Bacteroides fragilis*), fungi (*Aspergillus fumigatus*) or allergenic sources (pollens) [37-40]. Co-stimulatory molecules can positively (e.g. ICOS, CD40L, CD28) or negatively (e.g. PD-1, BTLA, LAG-3) influence iNKT cell activation and skew the immune response [41]. In the absence of exogenous lipids, iNKT cells can also be activated by self-lipids in a variety of contexts [42]. For instance, in the presence of innate-like signals (e.g. during viral infection) activation of iNKT cells can still occur in a CD1d-dependent manner. In this case, Toll like receptor (TLR) stimulation of antigen presenting cells (APCs) induces changes in lipid biosynthesis and accumulation of endogenous lipids which are presented on CD1d and mediate iNKT cell activation [8, 43-45]. Lastly, cytokines can further enhance TCR-dependent responses and, in some instances, iNKT cells can be activated solely in response to cytokine stimulation (e.g. IL-12, IL-18) [36, 46, 47]. Importantly, these mechanisms of activation are not mutually exclusive. In some instances, despite the presence of CD1d-restricted lipid antigens, cytokine-driven signals - rather than cognate antigens - dictate iNKT cell activation as it has been shown during infections with *Sphingomonas yanoikuyae* or *Streptococcus pneumoniae* [48].

### **NKT CELLS IN THE INTESTINAL COMPARTMENT**

The gastrointestinal tract constitutes one of the most complex antigenic environments in the body as it is not only continually exposed to dietary products but it is also densely populated with a vast array of intestinal bacteria. As a result, the intestine is equipped with a highly specialised immune system that is key for maintaining the segregation between the host and bacteria consequently controlling tissue homeostasis [1]. Containment of commensals within the intestine is achieved by an epithelial layer together with populations of B cells, T cells, macrophages, dendritic cells (DCs) and various innate immune cells including unconventional T cells.

NKT cells are found in the intestine of mice and humans. In humans, iNKT cells are present at high frequencies in the foetal intestine while in mice intestinal iNKT cells are absent at birth but their frequency increases over the first 6 weeks of age [49-51]. In the gut of adult mice iNKT cells account for up to 5% of TCR $\beta$ <sup>+</sup> T cells, with varying frequencies across the gut mucosa [35, 52].



iNKT cell numbers inversely correlate with both bacterial density and bacterial proximity, and thus iNKT cells are more prevalent in the small intestine (SI) than in the colon and more highly represented in the lamina propria than in the epithelial layer [35, 52]. As it is the case in most peripheral tissues, NKT1 cells are prevalent in the intestinal tract representing around ~85% of iNKT cells in the SI, colon and Peyer's Patches of C57BL/6 mice, with the rest of the cells belonging to NKT17 (~10%) and NKT2 (<5%) families [35, 53]. NKT1 cells are also prevalent in the gut-draining mesenteric lymph nodes (mLN), although there is an increased presence of NKT2s (~40%) in this tissue. Consistent with this, NKT cells are the major producers of IL-4 in this tissue and after stimulation of mLN lymphocytes with PMA/ionomycin iNKT cells constitute up to 60% of the IL-4 producing cells [35]. Finally, NKT10 cells are also found in the intestine of transgenic mouse models of colorectal cancer (APC<sup>Min/+</sup> mice) [23]. It is worth noting that while the majority of iNKT cells found in the adult murine gut in steady-state are T-bet<sup>+</sup> NKT1s, it is possible that other iNKT cell subsets (or precursors) could be recruited/expanded under certain inflammatory conditions ultimately shaping the functional capabilities of the local iNKT cell population.

### **Regulation of NKT cells' homeostasis by the microbiota**

It is increasingly appreciated that commensal-derived products regulate the homeostasis and function of most immune cell populations. Commensal bacteria represent a major source of lipids, several of which have been identified for their capacity to activate iNKT cells. Indeed, cumulative evidence demonstrates that the microbiota provides key signals for modulating intestinal iNKT cell numbers and phenotype, although iNKT cells are present in the intestine even in the absence of microbiota [50, 52, 54]. In homeostatic conditions iNKT cells from the gut and mLN (but not from spleen or liver) express high levels of the transcription factor Nur77 (as detected in reporter mice), suggesting that intestinal iNKT cells are recognising lipid antigens in a TCR-dependent manner [35, 55]. The influence of microbial-derived products in the control of the iNKT cell population was confirmed in experiments with germ-free (GF) mice, where iNKT cells are present at higher numbers in the colon and exhibit a less mature phenotype in comparison with cells from Specific Pathogen free (SPF) mice [50, 52]. The effect of the microbiota on the iNKT cell population seems to be particularly important early in life as iNKT cells proliferate and accumulate in the intestine of neonatal GF mice in a process that is negatively regulated by exposure to commensals [50, 51]. Not only the presence/absence but also the composition of the commensal bacteria can have profound effects on iNKT cells. For instance, short-term antibiotic treatment is sufficient to induce the expansion of colonic iNKT cells and to alter their cytokine profile [56]. Moreover, the presence of dysbiotic microbiota after antibiotic treatment generates a

proinflammatory phenotype on colonic iNKT cells [56]. Strikingly, the gut microbiome is also able to regulate iNKT cells in distal tissues including the spleen, liver and thymus [52, 54]. iNKT cells in these tissues of mice from different vendors with known variation in their intestinal bacteria (Taconic vs. Jacksons), differ in their frequency, phenotype, TCRV $\beta$  usage and cytokine secretion while these differences disappear after co-housing of the mice [52]. Moreover, the liver is exposed to gut-derived products through the portal vein and the microbiome can use bacterial bile acid metabolites as messengers to cause the accumulation of hepatic CXCR6-expressing iNKT cells. As a result, these cells present a more activated phenotype and mediate the inhibition of hepatic tumour growth [57].

Although the microbial-derived lipids that might be involved in the regulation and maintenance of the iNKT cell population in the gut remain largely uncharacterised, several key bacteria have been identified in recent years. *Sphingomonas*, which are commensal species in the gut, contain glycosylceramides on the cell wall that can serve as antigens for iNKT cells [8, 58, 59]. Indeed, iNKT cells from GF mice show an immature phenotype which is rescued after colonisation with *Sphingomonas* but not with *E. coli* (which lack glycosylceramides) [52]. Members of the *Bacteroides* genus may be crucial for regulating the iNKT cell population as 40-70% of their membrane phospholipids are sphingolipids, which could be presented to iNKT cells by CD1d. Indeed, an isoform of  $\alpha$ GalCer is produced by *B. fragilis*. This sphingolipid was shown to activate and elicit IFN- $\gamma$  production both in mouse and human iNKT lymphocytes in a CD1d-dependent manner [38]. Conversely, *B. fragilis* has been reported to have a direct effect on the homeostasis of colonic iNKT cells by providing inhibitory sphingolipids that negatively modulate cell proliferation in the neonatal period [51]. Interestingly, the presence of  $\alpha$ GalCers in the murine intestinal compartment is strongly decreased in response to Western type diet, DSS-induced colitis or Influenza A virus infection, potentially impacting the function of intestinal iNKT cells [60]. Altogether these studies support a model by which the commensal microbiota can control the iNKT cell compartment by providing either inhibitory or stimulatory lipid antigens. Nonetheless it is likely that other signals in the intestinal environment such as cytokines, neurotransmitters or dietary components also contribute to the control of the intestinal iNKT cell population, although the nature and the mechanism of action of such signals remain unknown.

Besides microbial-derived antigens, key players in the modulation of intestinal immunity are the various populations of antigen presenting cells (APC) found in the intestinal compartment, which can differentially control tolerogenic and inflammatory responses. Indeed, the context of lipid presentation provided by various CD1d<sup>+</sup> APCs can shape the outcome of immune responses, by

controlling the activation, proliferation and the pattern of cytokine secretion of iNKT cells [61]. CD1d is expressed by numerous cells in the gut including B cells, dendritic cells (DC), macrophages, intestinal epithelial cells (IECs) and innate lymphoid cells (ILCs), all of which can efficiently internalise lipid antigens and present them to iNKT cells. Direct evidence regarding the role of lipid presentation by APCs in the control of intestinal iNKT cells comes from conditional CD1d-KO mice. Experiments with these mice demonstrate that CD1d expression in CD11c<sup>+</sup> cells (including DCs and macrophages) controls the phenotype of intestinal iNKT cells as mice with a conditional deletion of CD1d on CD11c<sup>+</sup> cells have a decrease in the frequency of NKT17 cells in the SI and mLN [35]. Moreover, CD11c<sup>+</sup> cells also control the activation of intestinal iNKT cells after oral administration of  $\alpha$ GalCer [35]. While the function of other CD1d<sup>+</sup> cells in the regulation of intestinal iNKT cell homeostasis remains unexplored, it is likely that various cell types collaborate to positively or negatively control iNKT cell immunity in the gut. For instance, group 3 ILCs (ILC3) have been shown to mediate the peripheral selection of CD4<sup>+</sup> T cells by inducing MHC-mediated cell death of activated commensal bacteria-specific T cells [62, 63]. Since ILC3s express CD1d and are able to present lipid antigens [64], it is tempting to speculate that they could also participate in the control of the intestinal iNKT cell population by CD1-mediated presentation of commensal-derived lipids.

### **NKT cells in the maintenance of intestinal homeostasis**

iNKT cells play an important role in the host enteric defence and maintenance of barrier function in the intestine both by controlling microbial colonisation and by orchestrating the function of other intestinal cells (Figure 2). There are accumulating lines of evidence that support a bidirectional interaction between gut iNKT cells and the intestinal microbiota and consequently iNKT lymphocytes can also influence the composition of the commensal bacterial populations. In fact, CD1d-KO mice have a different microbiota than WT littermates and intragastric administration of various bacterial species (including *Pseudomonas aeruginosa* or *E. coli*) results in increased colonisation of the SI in CD1d-KO mice compared with WT mice [35, 65]. Interestingly, the changes in commensal microbiota detected in CD1d-KO mice are recapitulated in mice with a conditional deletion of CD1d on CD11c<sup>+</sup> cells, suggesting that the crosstalk between NKT cells and DCs/macrophages controls the intestinal microbial populations [35].

Several mechanisms are in place in the intestinal compartment to minimise the direct contact between intestinal bacteria and the epithelial cell surface. These include the presence of a mucus layer secreted by Goblet cells and the production of anti-microbial peptides by IEC. iNKT cells and CD1d expression have also been shown to be required for maintaining the physical

segregation between IECs and the microbiota as CD1d-KO mice show altered bacterial stratification, with commensal bacteria found in close proximity to IEC [35, 65]. While the mechanisms controlling this phenotype haven't been fully elucidated it has been suggested that iNKT cells could modulate Paneth cell degranulation (and consequently anti-microbial peptide secretion) through production of IFN- $\gamma$  [66]. Accordingly, CD1d-KO mice present a defect in Paneth cell's granule ultrastructure and ability to degranulate after bacterial colonisation [65].

Besides IECs, intestinal iNKT cells can directly (through CD1d-mediated interactions) or indirectly control the function of other intestinal immune cells with potential consequences for intestinal homeostasis. For instance, it is well established that NKT cells can control antibody production by B cells [24, 67-69]. In the intestine, IgA is the most abundant antibody contributing to bacterial stratification, and together with IgG they cooperate to modulate intestinal immunity [70, 71]. A population of tissue-resident iNKT cells has been recently described in the Peyer's patches and seem to critically control B cell responses [53]. As such, iNKT cells produce the majority of the IL-4 in the Peyer's patches and provide indirect help for B cell class switch to IgG1. As a result, NKT cell-deficient mice show decreased levels of IgG1 in faeces [53]. Alterations in the IgA repertoire have been also observed in CD1d-deficient mice, although these are a consequence of the altered microbiota present in this strain [35]. On the other hand, iNKT cells indirectly modulate the intestinal Treg population, which is known to play a critical role in the regulation of intestinal homeostasis [72]. As such, Treg numbers and frequencies are altered in the mLN and SI of CD1d-KO mice vs. littermate controls, while activation of iNKT cells in response to oral  $\alpha$ GalCer results in increased numbers of peripherally induced Tregs [35]. Moreover, a population of regulatory iNKT cells in the intestine has been shown to locally promote intestinal polyp formation by enhancing Treg cell frequency in a mouse model of colorectal cancer [23]. Finally, CD1d-mediated NKT cell-APC interactions can also modulate APC activation and function and ultimately shape intestinal immunity. For instance, engagement of CD1d on ILC3s induces secretion of IL-22 [64], which in turn supports epithelial barrier and promotes barrier defence mechanisms against bacterial pathogens [73]. Also, ligation of CD1d on IEC leads to production of IL-10 which protects from intestinal inflammation [74]. All together these studies propose NKT cells/CD1 as central players in the maintenance of intestinal homeostasis by modulating the composition and stratification of the intestinal commensal bacteria as well as the function of immune and epithelial cells.

### **NKT cells in intestinal inflammation**

Given their importance in gut homeostasis, the role of NKT cells during intestinal disease is under increased investigation. In fact, NKT cells have been identified as major players in intestinal inflammation in mice and humans. IBD represents a group of intestinal disorders that cause prolonged inflammation of the digestive tract. The aetiology of IBD is unclear, with genetics, environmental/lifestyle factors and microbiota associated with increased risk. IBD can be classified in two major forms of disease -ulcerative colitis (UC) and Crohn's disease (CD)- which differ in the extent of pathology and in their immune profile. In general terms immune responses are thought to be predominantly Th1 for CD and Th2 for UC [75], although it is worth noting that this is a somewhat basic classification which may not fully cover the complexity of the immune responses in human IBD. Dysregulation of CD1 expression and NKT cell activation are associated with intestinal inflammation in mice and humans and suggest a complex role for these cells in IBD. In general, NKT cells seem to be pathogenic in Th2-like intestinal inflammation, but protective in Th1 models [76, 77].

Intestinal NKT cells contribute to the fuelling of intestinal inflammation in UC through a mechanism mediated by IL-13 (Figure 3). In the oxazolone model of murine UC, iNKT cells accumulate in the gut, whereas there is striking protection from disease in CD1d-KO, J $\alpha$ 18-KO and IL-13-KO mice as well as in WT mice pre-treated with anti-CD1d or anti-IL-13 blocking antibodies [50, 76, 78]. In this model, IL-13 secretion seems to be regulated by IL-25, as blocking IL-25 signalling results in decreased IL-13 levels and improved gut inflammation [79]. On the same line, GF mice -which have increased numbers of iNKT cells in the gut- show increased pathology in response to oxazolone-induced colitis, with significantly higher IL-13 secretion [50, 51]. In humans, type II NKT cells are enriched in the mucosa from patients with UC and secrete IL-13 [80, 81]. The mechanisms of action for IL-13 in intestinal inflammation are not fully understood, but experiments with colonic cell line monolayers suggest that IL-13 can induce apoptosis of IECs as well as alteration of tight junction protein composition ultimately disturbing the intestinal barrier function [82, 83]. In the clinic, administration of monoclonal antibodies against IL-13 (tralokinumab) to UC patients did not significantly increase the proportion of patients who achieved clinical response (defined as a >3 point reduction in Mayo score), however it did increase the number of patients in clinical remission (defined as a total Mayo score of <2), suggesting that targeting IL-13 may be beneficial in a subset of UC patients [84, 85].

While the role of NKT cells and IL-13 in the control of Th2-models of colitis is well established, the identity of the main IL-13 producers in UC remains unclear. In models of oxazolone-colitis direct evidence for IL-13 secretion by intestinal iNKT cells is scarce and IL-13 production has been only

shown in a small proportion of mLN iNKT cells (~10%) and in ILC2s [79] while no data is available for colonic iNKT cells. Moreover, the vast majority of iNKT cells in SI and colon are NKT1 cells with only very small proportions (<5%) of NKT2 cells (which are reported to be the main producers of type 2 cytokines) [19, 35]. Intriguingly, in IL-13 reporter mice iNKT cells are poor producers of IL-13 even in settings of type 2 inflammation [86]. This effect was attributed to the reduced GATA-3 expression found in iNKT cells which drives production of IL-4 over IL-13 [86]. Thus, whilst iNKT cells are critical players in oxazolone-colitis, these observations question whether they are the main producers of IL-13. This raises the possibility that iNKT cells could function by indirectly modulating the secretion of IL-13 by other cell types such as ILC2s or conventional CD4<sup>+</sup> T cells. Alternatively, it is also possible that the intestinal inflammatory environment may induce a degree of functional plasticity on intestinal iNKT cells and/or drive the expansion/recruitment of iNKT cell precursors or of a population of IL-13 secreting iNKT cells that hasn't been detected to date. Finally, IL-13 could be secreted by type II NKT cells in mice (as described in human UC patients [80]), although this scenario wouldn't explain the protective phenotype of J $\alpha$ 18-KO mice in oxazolone-colitis [76].

Besides IL-13-mediated intestinal inflammation, NKT cells and CD1 have been also shown to control intestinal immunity through other mechanisms of action. For instance, CD1d-dependent regulation of IEC function can on itself control gut inflammation. In oxazolone-colitis IECs produce anti-inflammatory IL-10 in a CD1d-dependent manner critically controlling intestinal pathology [74]. Consequently, the deletion of CD1d in the intestinal epithelium increases oxazolone-induced inflammation, while non-epithelial cells contribute to pathogenic NKT cell activation [74]. Interestingly, oxazolone compounds can be found in microbial and industrial sources and can activate iNKT cells and trigger CD1d-dependent intestinal inflammatory responses [87]. On a different experimental setting, overexpression of CD1d in a transgenic mouse model for type II iNKT cells is sufficient to induce the spontaneous development of intestinal inflammation; suggesting that interaction of NKT cells with CD1d-expressing cells can lead to the dysregulation of type II NKT cell responses and subsequently contribute to the development of IBD [88].

While the key role of NKT cells in Th2-driven models of colitis -such as oxazolone- is well established, their functions in CD and CD-like mouse models remain controversial. In humans, iNKT cells from the lamina propria of CD patients produce pro-inflammatory TNF $\alpha$ , IFN $\gamma$ , IL-17A and IL-13 and can disrupt epithelial monolayer integrity, suggesting that they could contribute to disease pathology [89]. In the dextran sodium sulphate (DSS) model of IBD, NKTs have been shown to be protective in some studies but have no effect in some others. Original studies

showed that CD1d-deficient mice developed similar pathology to control mice after DSS administration [90]. However, other studies found that iNKT cells protect against DSS induced colitis by secreting IL-9 or by suppressing pathogenic T cells [77, 91]. While the reason for these discrepancies are not completely clear, they could be related to alterations in the microbiota in the NKT cell-deficient strains. It has been shown that CD1d-KO mice harbour an altered intestinal microbiota that is associated with exacerbated intestinal inflammation at steady-state and following DSS treatment [92]. This altered microbiota is transmissible upon faecal transplant to WT mice and influences iNKT cell function during DSS colitis [92]. Strikingly, other authors have described a less inflammatory microbiota that aids anti-inflammatory neutrophil recruitment in iNKT cell-deficient mice [93]. Thus, alterations in the microbiota will have a critical influence on the outcome of colitis and will likely contribute to the disparate functions proposed for NKT cells in this disease.

## CONCLUSIONS AND FUTURE DIRECTIONS

An increasing number of studies reveal NKT cells as important modulators of homeostasis and inflammation in the gastrointestinal tract. NKT cells are able to control the composition of the intestinal microbiota and to modulate the function of other immune cells ultimately regulating intestinal homeostasis and the progression of inflammatory diseases. On the other hand, within the intestine NKT lymphocytes are exposed to a vast array of microbial-derived glycolipids, which markedly shape their phenotype and functions. It is thus obvious that there is a bidirectional communication between NKT cells and the intestinal environment that contributes to the control of gut immunity. Despite these advances, many questions remain open regarding the mechanisms controlling this crosstalk and the effect that they exert on mucosal immunity. For instance: Do dietary lipids, cytokines or neurotransmitters contribute to the control of intestinal NKT cell function? Is the antigen specificity of intestinal NKT cells regulated by commensals and/or altered in IBD? What are the mechanisms controlling NKT cell activation and effector functions in IBD? These are critical questions whose answers will provide a great leap forward in our understanding of host-microbiota interactions and will offer rationale for the design of new therapies to prevent or ameliorate intestinal disorders.

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**Table 1. Properties and tissue distribution of iNKT cell subsets.** Characteristic surface markers, transcription factors, tissue distribution and cytokine production for iNKT subsets in C57Bl/6 mice. Cytokines shown in bold are the prototypical cytokines secreted by each iNKT cell subset (iLN=inguinal LN, aLN= axillary LN, cLN= cervical LN; n.r.= not reported; n.d = not detected)

iNKT subset	Transcription factors	Surface Markers	Cytokines	Tissues (C57Bl/6 steady-state)	References
NKT1	T-bet, PLZF <sup>low</sup> , GATA3	CD4 <sup>+</sup> , NK1.1 <sup>+</sup> , CXCR3 <sup>+</sup> , IL-17RB <sup>-</sup>	<b>IFN<math>\gamma</math></b> TNF $\alpha$ , IL-4, granzyme, perforin	Enriched in liver, spleen, thymus	[19-21]
NKT2	PLZF <sup>High</sup> , GATA3	CD4 <sup>+</sup> , NK1.1 <sup>-</sup> , CD27 <sup>+</sup> , IL-25R <sup>+</sup>	<b>IL-4, IL-13</b> IL-6	Enriched in mLN	[19-21]
NKT17	ROR $\gamma$ t, PLZF <sup>int</sup> , GATA-3,	CD4 <sup>-</sup> , NK1.1 <sup>-</sup> , CD127 <sup>High</sup> , IL-23R <sup>+</sup> , CCR6 <sup>+</sup> , CD103 <sup>+</sup>	<b>IL-17</b> IL-22	Enriched in lung, iLN, aLN, cLN	[19-21]
NKT10	PLZF <sup>Low</sup> , E4BP4	PD1 <sup>+</sup> , Nrp1 <sup>+</sup> , CD49d <sup>+</sup>	<b>IL-10</b> IL-2	Fat	[18]
NKTreg	Foxp3 <sup>+</sup>	NK1.1 <sup>-</sup> , CD25 <sup>+</sup> , GITR <sup>+</sup> , NKG2D <sup>+</sup>	<b>n.r.</b>	n.d	[24]
NKTFH	BCL6	CXCR5 <sup>+</sup> , PD1 <sup>+</sup>	<b>IL-21</b>	n.d	[25]

## FIGURE LEGENDS

**Figure 1. Mechanisms of iNKT cell activation.** (A) Direct activation via microbial or synthetic lipids: Microbial lipid antigens from bacteria (such as *Sphingomonas* or *Borrelia*) or synthetic lipids (such as  $\alpha$ GalCer) will be loaded in CD1d and directly recognised by the T cell receptor (TCR) of iNKT cells inducing their activation and cytokine secretion [37, 58]. iNKT cell activation in this context can be further modulated by cytokines and/or co-stimulation [41]. (B) Endogenous lipids + innate signals: Toll-like receptor (TLR) stimulation of antigen presenting cells (APCs) induces changes in lipid synthesis and presentation of endogenous lipids. TLR-stimulated APCs secrete IL-12 that together with endogenous lipids mediate iNKT cell activation [8, 44, 45]. (C) Cytokine mediated activation: Several cytokines such as IL-12, IL-18 or type I IFN are sufficient to induce iNKT activation in a CD1d-independent manner [46, 47].

**Figure 2. NKT cells in the regulation of intestinal homeostasis.** iNKT cells contribute to the communication between the microbiota and the intestinal immune system. Intestinal iNKT cells sense lipids presented by CD11c<sup>+</sup> cells and this regulates iNKT cell homeostasis and activation [35]. In turn, iNKT cells modulate (both directly and indirectly) the function of other intestinal immune cells as well as the composition and stratification of intestinal bacteria [35, 65]. CD1d-mediated crosstalk between iNKT cells and intestinal epithelial cells (IEC) modulates IL-10 secretion [74], while engagement of CD1d on group 3 innate lymphoid cells (ILC3) induces IL-22 production [64]; both of these cytokines contribute to the control of intestinal homeostasis. Moreover, iNKT cells indirectly regulate the intestinal Treg population [35], the secretion of IgG1 by intestinal B cells [53] and Paneth cell (PC) degranulation [65, 66].

**Figure 3. NKT cells in intestinal inflammation.** In human ulcerative colitis or murine models of oxazolone colitis NKT cells contribute to the fueling of intestinal inflammation through a

mechanism mediated by IL-13 [74, 76, 79-81]. IL-13 can induce apoptosis of intestinal epithelial cells (IEC) and alter epithelial tight junctions which in turn will impair barrier function [82, 83].

## **ACKNOWLEDGMENTS**

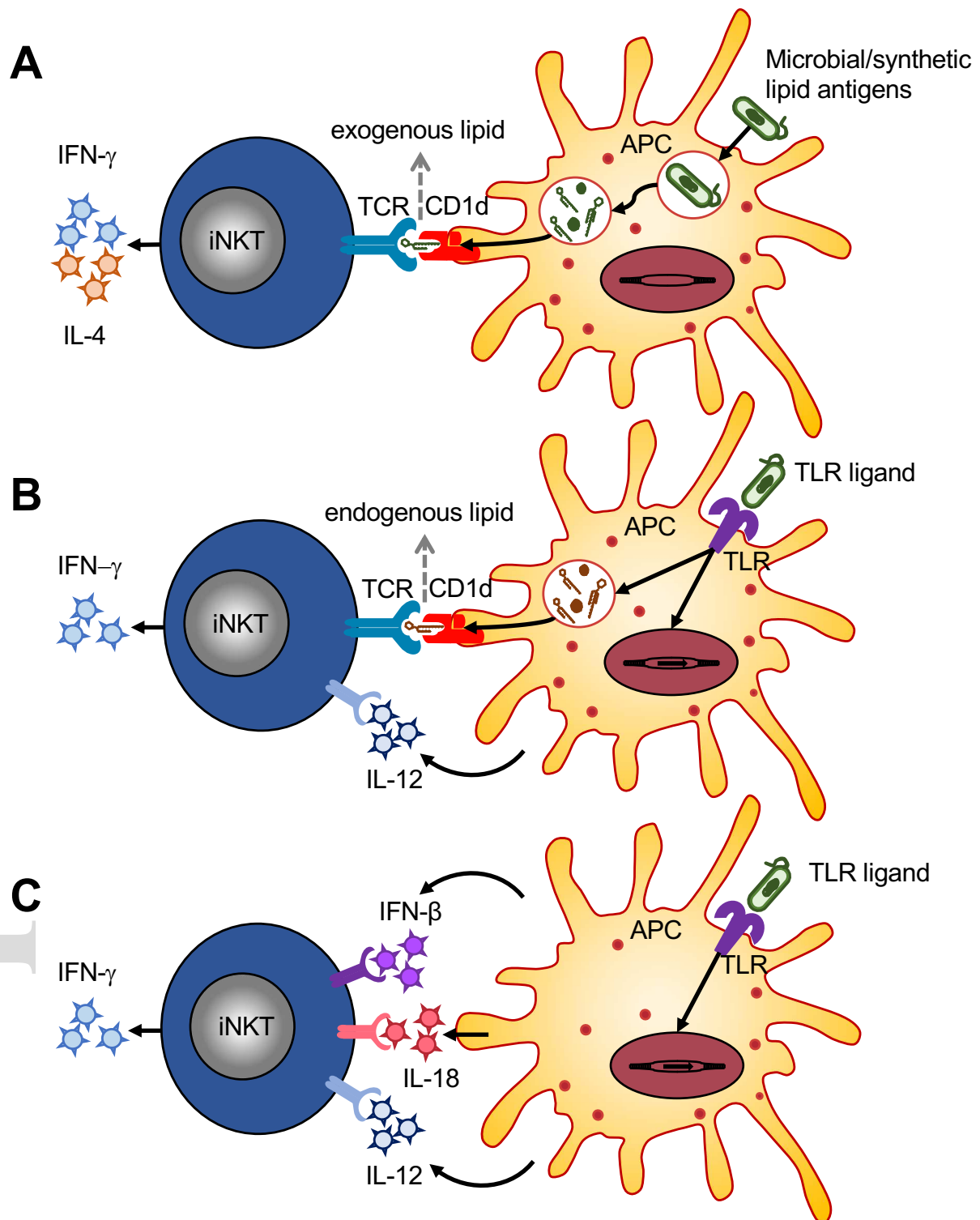
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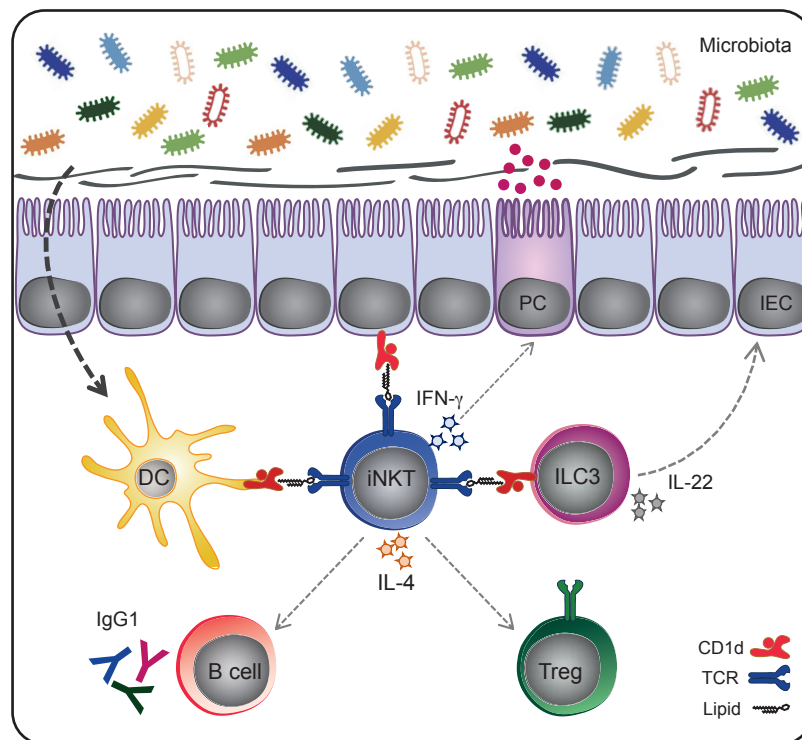
## **AUTHORS CONTRIBUTION**

All authors wrote the manuscript

## **CONFLICT OF INTEREST**

The authors declare no conflict of interest.

**Figure 1**

**FIGURE 2**

**FIGURE 3**